



With our compliance demands increasing it is important for us to choose solutions that not only help us comply with regulations, but also save us time by being easy to use and administer.

Daiwa Capital Markets Europe Limited



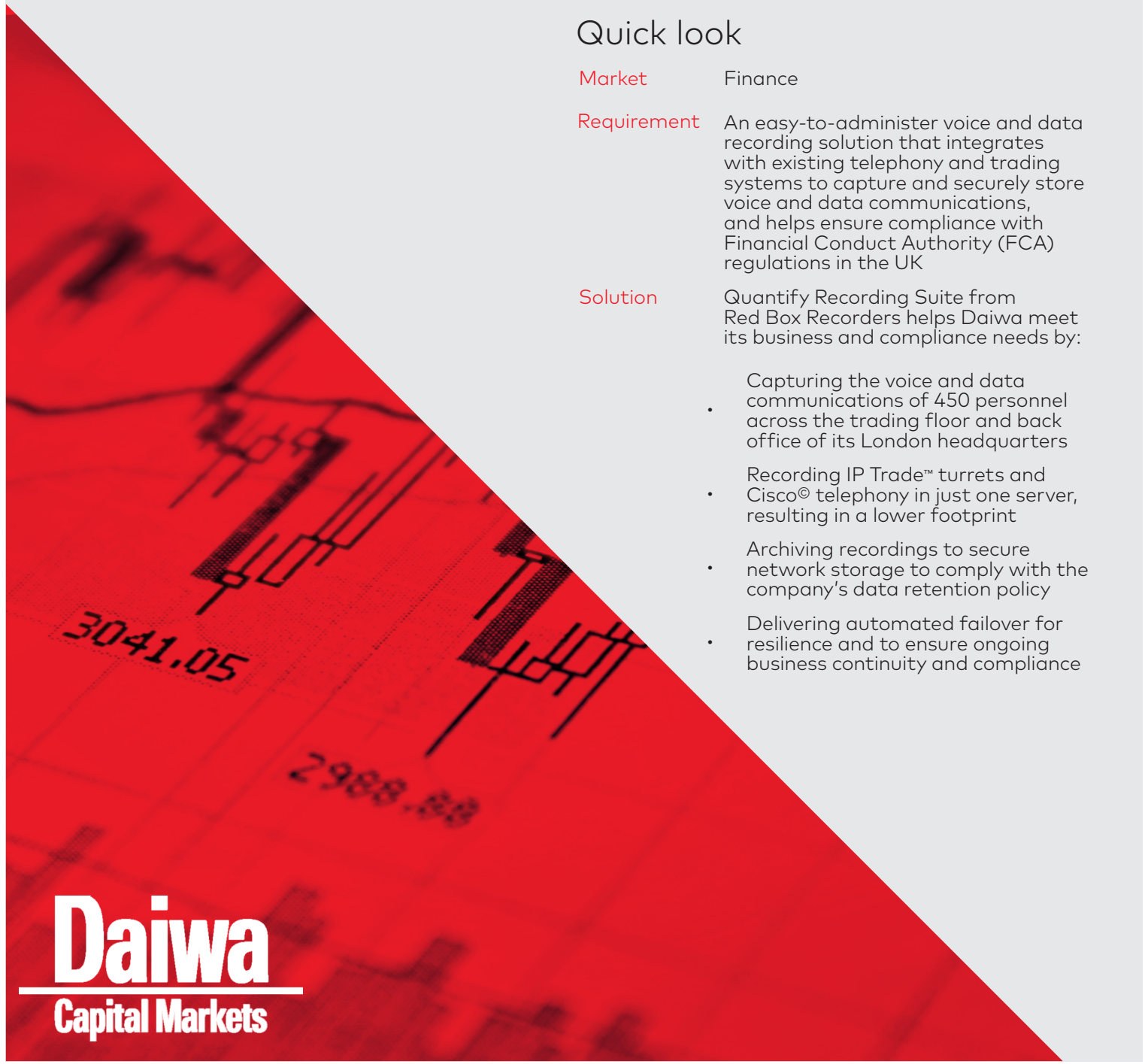
Daiwa Capital Markets

Daiwa Capital Markets Europe Limited is the wholly owned investment banking subsidiary of Daiwa Securities Group Inc., one of the largest brokerage and financial services groups in Japan.

From its London headquarters and offices in Europe, the company operates across business areas including Equity, Fixed Income, Equity & Debt Capital Markets and Global Convertible Bonds.

Quick look

Market	Finance
Requirement	An easy-to-administer voice and data recording solution that integrates with existing telephony and trading systems to capture and securely store voice and data communications, and helps ensure compliance with Financial Conduct Authority (FCA) regulations in the UK
Solution	Quantify Recording Suite from Red Box Recorders helps Daiwa meet its business and compliance needs by: <ul style="list-style-type: none"> • Capturing the voice and data communications of 450 personnel across the trading floor and back office of its London headquarters • Recording IP Trade™ turrets and Cisco® telephony in just one server, resulting in a lower footprint • Archiving recordings to secure network storage to comply with the company's data retention policy • Delivering automated failover for resilience and to ensure ongoing business continuity and compliance



Daiwa

Capital Markets

The challenge

The existing recording solution being used by Daiwa Capital Markets Europe Limited did not meet its requirements. Being difficult to use and administer, routine system changes and updates were time-consuming and placed a strain on valuable resources.

Daiwa needed a flexible voice and data recording solution that would enable the secure recording of all internal and external voice and data communications relating to the reception and transmission of orders and transactions. The system needed to be easy to use and quick to update when required.



The solution

After enlisting the expertise of Linx-Networks, Daiwa chose Quantify Recording Suite from Red Box Recorders to record the communications of 450 personnel at its company headquarters in London. This included a mixture of IP Trade turrets and Cisco phones across its trading floor and back office.

As a certified partner of both IP Trade and Cisco, Red Box was able to offer Daiwa the confidence that its Quantify solution would meet the highest standards for integration and interoperability.

By capturing the activity across all trading and telephony devices on a single server, Quantify helps Daiwa minimise its footprint in an environment where space is at a premium. To support Daiwa's need for business continuity and ongoing compliance with financial regulations, Red Box deployed a resilient pair of Quantify recording servers to provide failover in the event of hardware failure.

Quantify Search and Replay enables Daiwa to securely capture and quickly retrieve recordings using searchable system metadata and to export recordings so that they can be shared with regulators. Recordings are archived and retained in line with Daiwa's one-year retention policy.

"With our compliance demands increasing it is important for us to choose solutions that not only help us comply with regulations, but also save us time by being easy to use and administer. Red Box and Linx-Networks have delivered a recording solution that meets these criteria and also enables us to add or redeploy channels to meet the changing needs of our business, giving us greater flexibility", said Daiwa Capital Markets Europe Limited.

"It was vital for Linx-Networks to deliver to Daiwa Capital Markets Europe a recording solution to meet their precise requirements and install the solution with no disruption to the traders or back office operation. Red Box was the most suitable solution for Daiwa due to the Cisco and IP Trade full integration. It was important to capture calls for Cisco users (back office) and the traders' dealerboards equipped with broker lines with the silent suppression feature. We are happy to say the migration was very successful with no disruption to Daiwa", said Gareth Malone, CEO at Linx-Networks.

About Red Box Recorders **RED BOX** RECORDERS

Red Box Recorders is a leading provider of voice and data recording solutions for financial compliance. The company works with organisations all over the world affected by regulations designed to deter malpractice and improve market confidence.

Red Box has offices worldwide and is supported by a global network of partners to deliver the highest levels of service and support.

www.redboxrecorders.com

About Linx-Networks **LINX** NETWORKS

Linx-Networks prides itself on service and reputation. We listen to our clients and customise each of our services around your business.

Linx-Networks is one of the few London city-based companies that gives your business a more personal touch. We specialise in Trading Turrets, IP Telephony, Voice & Mobile Recording, Managed Services and Cloud Solutions.

www.linx-networks.com